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| FORM | VI PTO- | 1390 U.S. DEPARTMENT O | F COMMERCE PATENT AND TRADEMARK OFFICE | 4702-42 | | | | | |
| IVIOD | | TRANSMITTAL LETTE | R TO THE UNITED STATES | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) | | | | | |
| | DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | | | | | |
| INTE | RNAT | IONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | |
| | | CT/GB2004/03353 | 4 August 2004 | 22 August 2003 | | | | | |
| TITL | TITLE OF INVENTION SUPPORTED POLYMERISATION CATALYSTS | | | | | | | | |
| APF | LICA | NT(S) FOR DO/EO/US | | | | | | | |
| | | | JACOBSEN et al | | | | | | |
| App | licant | herewith submits to the Unite | d States Designated/Elected Office (DO/EO | /US) the following items and other information: | | | | | |
| 1. | | This is a FIRST submission | of items concerning a submission under 35 | U.S.C. 371. | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBS | EQUENT submission of items concerning a | submission under 35 U.S.C. 371. | | | | | |
| 3. | | This is an express request to items (5), (6), (9) and (21) ir | | 5 U.S.C. 371(f)). The submission must include | | | | | |
| 4. | | The U.S. has been elected (| Article 31). | | | | | | |
| 5. | A co | py of the International Applica | ation as filed (35 U.S.C. 371(c)(2). | | | | | | |
| | a. | is attached hereto (| pages specification, claims & abstract (| claims), sheets drawings). | | | | | |
| | b. | has been communicate | ed by the International Bureau. | | | | | | |
| | c. | is not required, as the a | application was filed in the United States Re | ceiving Office (RO/US). | | | | | |
| 6. | | An English language transla | tion of the International Application as filed (| (35 U.S.C. 371(c)(3)) | | | | | |
| | a. Cert | The state of the s | | | | | | | |
| | b. | has been previously su | bmitted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. | | Amendments to the claims of | f the International Application under PCT A | rticle 19 (35 U.S.C. 371(c)(3) | | | | | |
| | a. | are attached hereto (re | quired only if not communicated by the Inte | rnational Bureau). | | | | | |
| | b. | have been communica | ted by the International Bureau. | | | | | | |
| | ·c. | have not been made; h | owever, the time limit for making such ame | ndments has NOT expired. | | | | | |
| | d. | have not been made a | nd will not be made. | | | | | | |
| 8. | | An English language transla | tion of the amendments to the claims under | PCT Article 19 (35 U.S.C. 371(c)(3). | | | | | |
| 9. | a. | An oath or declaration | of the inventor(s) (35 U.S.C. 371(c)(4). | | | | | | |
| | b. Forn | Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (page m PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached). | | | | | | | |
| 10. U.S | U.S.C. 371(c)(5). | | | | | | | | |
| | Item | | document(s) or information included: | | | | | | |
| 11. | | | tatement under 37 C.F.R. 1.97 and 1.98. | | | | | | |
| 12. | | _ | | pliance with 37 C.F.R. 3.28 and 3.31 is included. | | | | | |
| 13. | . a. A FIRST preliminary amendment. b. A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | | |
| 14. | | An Application Data Sheet u | nder 37 C.F.R. § 1.76. | | | | | | |
| 15. | | A substitute specification. | | | | | | | |
| 16. | | A change of power of attorney and/or address letter. | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19. | | A second copy of the English | n language translation of the international a | oplication under 35 U.S.C. 154(d)(4). | | | | | |

20.

Other items or information.

| Standard | U.S. APPI | | | known, s | see 37 | C.F.R. 1.5) | IN | | NATIONAL APPL | | i | | ATTO | | "S DOCKET N 1702-42 | UME | BER |
|--|-----------------------|-----------------------|---------------|---------------------|----------------|-----------------------------|---|---------------------|---|--------------------------|---|---|------------------|----------|------------------------|----------|-------------|
| 21 | × | | | <u> </u> | re su | ıbmitted: | · • · · · · · · · · · · · · · · · · · · | | | | | | | | | | |
| Summation Fee | | | | | | | 92(a)(1)-(5): | | | | | | | | | | |
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| Search Fee | 22. 🗍 | Examin | ation | Fee | | | | | | | \$0 (1643 | 3/264 | 3) | П | | | |
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| Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (12525)/\$2500 (2252); Three Month Extensions \$1020.00 (1253/\$510.00 (2253); Four Month Extensions \$1590.00 (1254/\$795.00 (2254) \$ 0.00 P. Applicant claims small entity status. See 37 CFR 1.27. Processing fee of \$130.00 (1618), for furnishing the English Translation later than 20 0.00 p. | Indepen | dent Clai | ms | 2 | mi | nus 3 = | 0 X | \$2 | 200.00 (1614) | | \$100.00 (261 | 4) | | \$ | 0.00 | | |
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| Applicant claims small entity status. See 37 CFR 1.27. Processing fee of \$130.00 (1618), for furnishing the English Translation later than | attachme (1252)/\$ | ent(s): | ne M 2252) | lonth E);Three | xten: Mor | sion \$120 | .00 (1251)/\$ | 60.0 | 00 (2251); Tw | o Moi | th Extensions | \$450 | 0.00 | | | | |
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| months from the earliest claimed priority date (37 C.F.R. 1.492(f). Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property + \$0.00 Fee for Petition to Revive Unintentionally Abandoned Application;\$1500.00 (1453) / \$750.00 (2453) \$0.00 TOTAL FEES ENCLOSED = \$130.00 Amount to be: refunded: \$\$25/2006 HKAYPAGH 00000099 10568822 C:1617 130.00 0P U.S. Application No.10/568,822; Atty Docket No.4702-42 | L Appl | icant clai | ms s | mall en | tity s | for furnic | e 37 CFR 1 | .27. | Translation | ator t | 20 20 | 130 | ` | \vdash | | ┝ | |
| TOTAL NATIONAL FEE = \$ 130.00 Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property + \$ 0.00 Fee for Petition to Revive Unintentionally Abandoned Application;\$1500.00 (1453) / \$750.00 (2453) \$ 0.00 TOTAL FEES ENCLOSED = \$ 130.00 Amount to be: refunded: \$ \$ 25/2006 #KAYPAGH 00000099 10568822 CC:1617 130.00 0P U.S. Application No.10/568,822; Atty Docket No.4702-42 | | | | | | | | | | aleil | + | | , | | 0.00 | | |
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| TOTAL FEES ENCLOSED = \$ 130.00 Amount to be: refunded: \$ Amount to be charged: \$ \$ Amount to be charged: \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ | Fee for r an appro | ecording priate co | the e | enclose sheet (3 | ed as 37 C. | signment F.R. 3.28 | (37 C.F.R. , 3.31). \$40 | 1.21 . 00 | (h). The assi (8021) per pro | gnme operty | nt must be acc | ompa | anied by | \$ | 0.00 | | |
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| U.S. Application No.10/568,822; Atty Docket No.4702-42 | 5 /9AA£ # | VAYDAGH : | ስስስስስ | 099 105 | 56882 | 2 | | | | | | | | l | argeo: | 3 | |
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| b. | | Please charge my Deposit Account | | \$ to cover the above fees | 3. |
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| | | A duplicate copy of this form is encl | osed. | • | |
| c. | 囟 | The Commissioner is hereby author | ized to charge any additional | fees which may be required, or | credit any |
| | | overpayment to Deposit Account No | o. 14-1140. A duplicate copy of | of this form is enclosed. | |
| d. | \boxtimes | CREDIT CARD PAYMENT FOR | | | |
| е. | X | The entire content of International A | | 3353 and any U.S. and foreign | application(s) corresponding |
| | 3†A 3 | and 0319738.1, referred to in this app | dication is/are hereby incorpor | rated by reference in this applica | ation. |
| NOT | *E• 1 | Where an appropriate time limit un | der 37 C F R 1 494 or 1 495 | has not been met, a netition to | o revive (37 C.F.R. 1.137(a) |
| | | ust be filed and granted to restore | | | |
| | | SPONDENCE ADDRESS | the application to pending s | natas. | |
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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Viginia 22313-1450 www.uspto.gov

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATT | Y. DOCKET NO. | |
|---|-----------------------|--------------------|-----------------|--|
| 10/568,822 | Grant Berent Jacobsen | | 470242 | |
| | | INTERNATIONAL AP | PLICATION NO. | |
| | | PCT/GB04/03353 | | |
| 23117 | | I.A. FILING DATE | PRIORITY DATE | |
| NIXON & VANDERHYE, PC | DOCKETED | 08/04/2004 | 08/22/2003 | |
| 901 NORTH GLEBE ROAD, 11TH FLO ARLINGTON, VA 22203 | 1702-42 | | | |
| CLI/MAI (C | T 9 | CONFIRM | IATION NO. 6771 | |
| MAIL DATE | June 9.2006 | 371 FORMALITIES | | |
| DUE DATE | Aug.9.2006 | *OC00000019201552* | | |
| | DLINE Jan 9 2007 | | | |
| Date Mailed: 06/09/2006 | BY LUS / & | | | |

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/22/2006
- Copy of the International Search Report filed on 02/22/2006
- Copy of IPE Report filed on 02/22/2006
- Preliminary Amendments filed on 02/22/2006
- Information Disclosure Statements filed on 02/22/2006
- Oath or Declaration filed on 02/22/2006
- Request for Immediate Examination filed on 02/22/2006
- Copy of references cited in ISR filed on 02/22/2006
- U.S. Basic National Fees filed on 02/22/2006
- Priority Documents filed on 02/22/2006
- Power of Attorney filed on 02/22/2006
- Specification filed on 02/22/2006
- Claims filed on 02/22/2006
- Abstracts filed on 02/22/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - does not identify the application to which it is directed.
 - Executed after International filing date.

To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. | | | |
|-----------------------------|-------------------------------|------------------|--|--|--|
| 10/568,822 | PCT/GB04/03353 | 470242 | | | |

FORM PCT/DO/EO/905 (371 Formalities Notice)